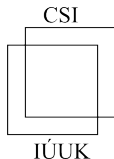


# Parameterized Approximation Schemes for Steiner Trees with Small Number of Steiner Vertices

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Caen, France



# Steiner Tree

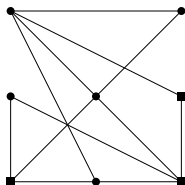
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**Input:** A graph  $G = (V, E)$ , a set of terminals  $R \subseteq V$ .

**Task:** Find a tree  $T \subseteq E$  of smallest size such that  $(R, T)$  is connected.

A vertex in  $V \setminus R$  is called a Steiner vertex.

The number of Steiner vertices in the optimal solution is denoted by  $p$ , (i.e.  $|V(T^*) \setminus R|$  for the optimum  $T^*$ ).



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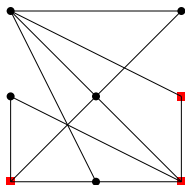
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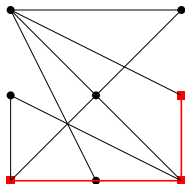
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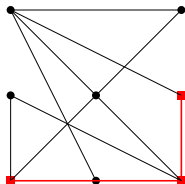
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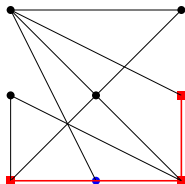
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## Weighted Steiner Tree

**Input:** A graph  $G = (V, E)$ , a set of terminals  $R \subseteq V$ , and a **weight function**  $w: E \rightarrow \mathbb{R}^+$ .

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## Directed Steiner Tree

**Input:** A **directed** graph  $G = (V, A)$ , a set of terminals  $R \subseteq V$ , a **root**  $r \in R$ .

**Task:** Find an **arborescence**  $T \subseteq A$  of smallest size such that every terminal is reachable from  $r$  by edges in  $T$ .



# Steiner Tree and Parameters

Two natural parameters of the problem.

- the number of terminals (denoted by  $|R|$ ),
- the number of Steiner vertices in the optimal solution (denoted by  $p$ ) i.e.  $|V(T^*) \setminus R|$ .

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Note, that if the number of Steiner vertices is considered as a parameter instead there is a **trivial FPT** algorithm.

# Steiner Tree – Known Results

## Parameterized complexity:

- **FPT** w.r.t. number of terminals
  - $3^k n^3$  algorithm in the 70s [Dreyfus & Wagner 71],
  - the best known now is  $2^k n^2$  [Björklund et al. 07] for the unweighted case.
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### Approximation:

- **2-approximation** is simple
- **$(\ln 4 + \varepsilon)$ -approximation** algorithm [Byrka et al. 13]
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Since Steiner Tree is **both hard to approximate and W[1]-hard** w.r.t.  $p$ , it is an excellent target for parameterized approximation.

# Steiner Tree – Our Results

## Theorem

For any computable functions  $g, f$ , it is impossible to compute an  $f(p)$ -approximation for the **Weighted Directed Steiner Tree** problem parameterized by  $p$  in time  $g(p) \cdot n^{O(1)}$ , unless **W[1] = FPT**.

Results	Undirected	Directed
Unweighted		
Weighted		$f(p)$ -approx. hard

# Steiner Tree – Our Results

## Definition (EPAS)

**Efficient Parameterized Approximation Scheme** for minimization problem is defined as follows: For all  $\varepsilon > 0$  there exists an algorithm that runs in time  $f(\varepsilon, p) \cdot n^{O(1)}$  and returns  $(1 + \varepsilon)$ -approximation.

# Steiner Tree – Our Results

## Theorem

There is an **EPAS** for the **Unweighted Directed Steiner Tree** problem  
 in time  $2^{p^2/\varepsilon} \cdot n^{O(1)}$ .

Results	Undirected	Directed
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There is an **EPAS** for the **Weighted Undirected Steiner Tree** problem


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- So, we can use a known algorithm parameterized by  $|R|$ .



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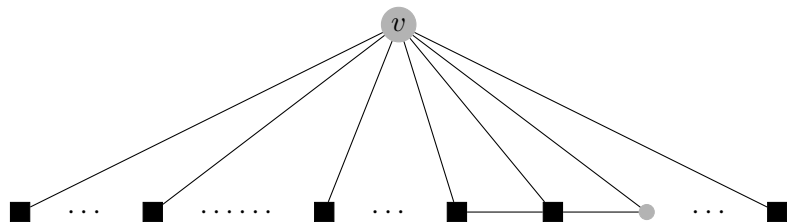
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## Overview of algorithm

We define a **star**  $C$  as the central vertex  $v$  and the subset  $Q$  of its **adjacent terminals**, where  $|Q| \geq 2$ .

We define a **ratio** of a star  $C$ , as

$$\frac{w(C)}{(|Q| - 1)}.$$

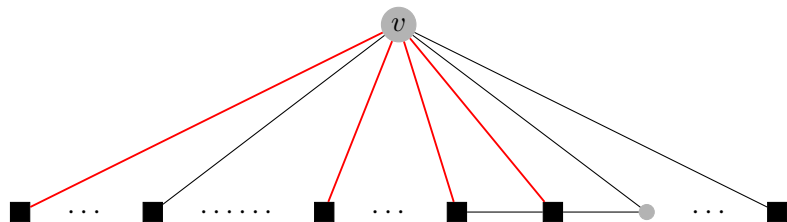


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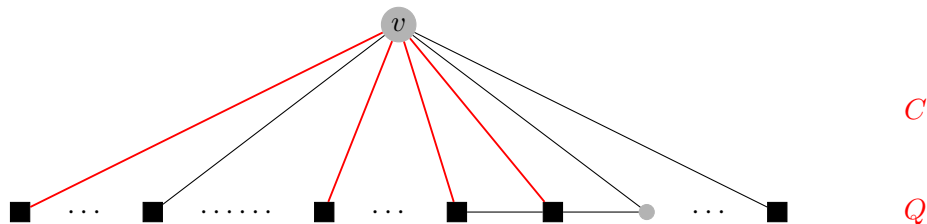


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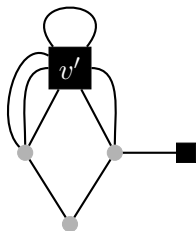




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Phase 1: We contract the **star with the best ratio** until the number of terminals ( $|R|$ ) is **small** ( $\mathcal{O}(p^2/\varepsilon^4)$ )

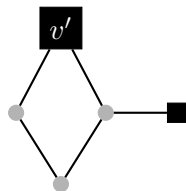
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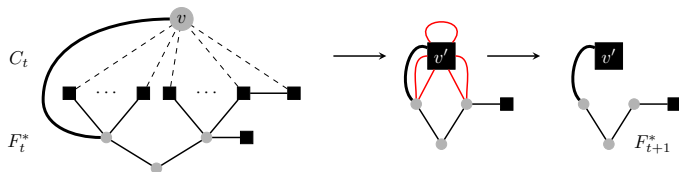
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- We maintain a tree originating from  $T^*$  in the contracted instances.
- Denote the tree after  $t$  steps of the algorithm by  $T_t^*$ .



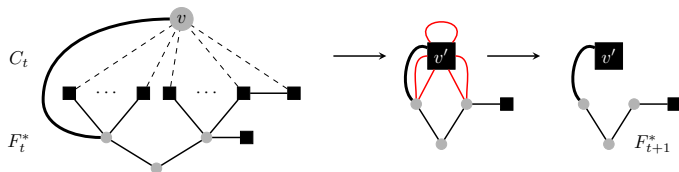


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- In each step we compare weight of  $w(C_t)$  with  $w(D_t)$ .
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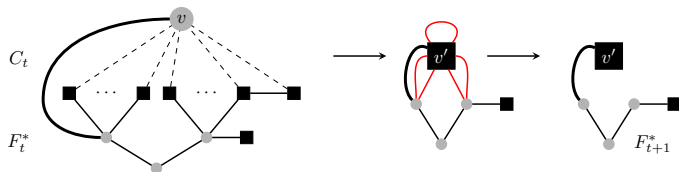


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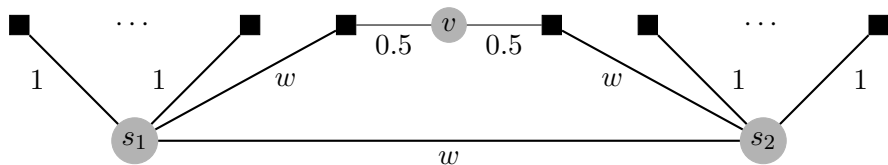
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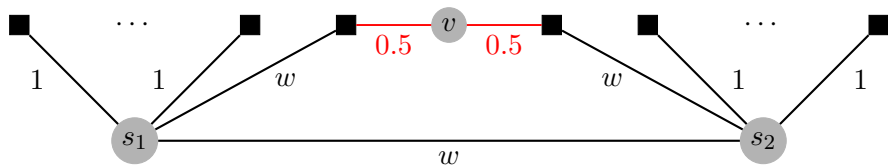
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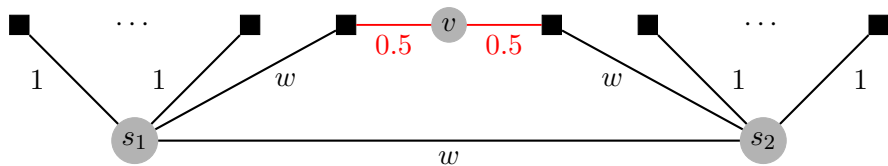
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### Proposition

If a star  $C$  contains at least  $(1 + \varepsilon)p/\varepsilon$  terminals then contraction of  $C$  is **good**.

# Bounding Bad Contractions – Key Technical Idea – Sketch

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- otherwise to prove that the number of terminals is **bounded in terms of  $p$  and  $\varepsilon$** .

## Kernelization – Our Results

### Definition (PSAKS)

**Polynomial Size Approximate Kernelization Scheme** for minimization problem is defined as follows: For all  $\varepsilon > 0$  there exists an algorithm that runs in polynomial time ( $n^{O(1)}$ ) s.t. it returns an  $(1 + \varepsilon)$ -approximate kernel of size  $O(p^{f(\varepsilon)})$ .

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There is a **PSAKS** for the **Weighted Undirected Steiner Tree** problem. It computes an  $(1 + \varepsilon)$ -approximate kernel

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- The **phase 1** leaves us with an instance with  $\mathcal{O}(p^2/\varepsilon^4)$  terminals, but the instance size may still be **large** (unbounded in terms of  $p$  and  $\varepsilon$ ).

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- Combining these two we get a **polynomial size  $(1 + \varepsilon)$ -approximate kernel**

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# Generalizations of Steiner Tree

## Weighted Steiner Forest

**Input:** A graph  $G = (V, E)$ , a list of terminal pairs  $\{s_1, t_1\}, \dots, \{s_k, t_k\}$ , and a weight function  $w: E \rightarrow \mathbb{R}^+$ .

**Task:** Find a forest  $F \subseteq E$  of smallest weight such that  $s_i$  and  $t_i$  is in the same component of  $F$  for every  $i$ .

We extend our results to Steiner Forest while parameterized by the number of components of the optimal solution in addition to  $p$ .

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Thank you for your attention!